

## **Compliance Officer**

Alfred Berg was established in Stockholm in 1863 and is today a Nordic asset manager. We are one of the most competent management environments for Nordic fixed income and Nordic equities. Total capital of NOK 246 billion is currently managed by our Nordic management team. We are 65 employees in total, 10 of whom are in Stockholm and 55 at the head office in Oslo. Our product range of more than 50 funds, both UCITs and AIFs, are marketed to retail and institutional investors and other associated distributors and partners in Norway, Sweden, and in other countries. We are one of the fastest growing asset managers in Norway and Sweden and need you to go further. Alfred Berg is BNP Paribas' Nordic manager and trademark for Nordic funds and Nordic asset management. We are owned by BNP Paribas Asset Management (75 %) and Drypnr AS (25 %).

We are hiring a Compliance Officer to strengthen Alfred Berg's Compliance function. You will be part of BNP Paribas Asset Management's strong Compliance community. BNP Paribas Asset Management has structured the compliance activities into four domains: Financial Security, Market Integrity, Protection of the Interests of Clients, and Professional Ethics. The purpose of the position is to contribute to Alfred Berg complying with applicable laws and regulations, and BNP Paribas' policies, procedures, and internal control requirements. This is a visible, varied role, and you will interact with a broad set of internal and external stakeholders. The position is centrally located in Stockholm.

### **Key responsibilities:**

- **Risk management and Internal control**
  - Identification and assessment of compliance risks
  - Execution of compliance controls and independent testing of the effectiveness of compliance-related controls performed by the business
- **Advisory**
  - Support in connection with launch of new products, activities, and projects
  - Support in connection with development and implementation of regulatory requirements, policies, compliance tools, and procedures
- **Case handling**
  - Participation in due diligence reviews of clients, distributors, and suppliers
  - Review of personal accounting dealing requests
  - Handling of compliance incidents
  - Review and approval of marketing material
- **Training and awareness building**
  - Onboarding of new employees
  - Regular training of Compliance policies and rules
- **Stakeholder management**
  - Be contact point for Finansinspektionen
  - Contribute to reporting to the Board of Directors

**Key internal/external relationships:**

- Reporting to: Head of Compliance, Alfred Berg
- Alfred Berg: Executive management, Investments, Sales, and other control function
- BNP Paribas Asset Management: Compliance and Central Control Office
- External: Finansinspektionen and Fondbolagens förening

**Essential qualifications and experience:**

- University degree in law, economics, or finance
- At least five years of professional experience as compliance officer at an asset manager and/or brokerage or adviser at Finansinspektionen
- Fluency in Swedish and English

**Skills and competencies:**

- Sound knowledge about Swedish legislation, and key regulatory frameworks such as UCITS, MiFIDII, and AIFMD applicable to asset managers
- Sound knowledge about fixed income and equities products
- Strong skills in communication, presentations, and report writing
- Structured, accurate, and proactive workstyle
- Ability to work both independently and in teams

**We offer:**

- An attractive compensation package including good pension and insurance schemes
- A visible and interesting role with very good opportunities for professional growth
- Employment with one of the fastest growing asset managers in Norway and Sweden
- Friendly and inclusive working environment
- Social events
- Flat organization
- Nice office premises in central Stockholm

**[Apply here](#)**

Application deadline: 5 August 2024

Contact information: for questions about the role, please contact Christian Bakken, Head of Compliance, via e-mail [christian.bakken@bnpparibas.com](mailto:christian.bakken@bnpparibas.com) or phone +47 928 11 246 or Alfred Berg HR at [hr.no@alfredberg.com](mailto:hr.no@alfredberg.com).